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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 506.35379CC2

Total Pages

First Named Inventor or Application Identifier

HORI, et al.

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee: \$1346.00

6. ☐ Microfiche Computer Program (Appendix)

Please charge any shortages in the fees or credit any overpayments thereof to the deposit account of Antonelli, Terry, Stout & Kraus, Deposit Account No. 01-2135.

7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

- a. ☐ Computer Readable Copy
b. ☐ Paper Copy (identical to computer copy)
c. ☐ Statement verifying identity of above copies

2. ☒ Specification Total Pages 27

3. ☐ Drawing(s) (35 USC 113) Total Sheets

4. Oath or Declaration Total Pages 2

- a. ☐ Newly executed (original or copy)
b. ☒ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below]

i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

5. ☒ Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
14. ☐ Small Entity Statement filed in prior application. Statement(s) ☐ Status still proper and desired
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Other: Preliminary Remarks and Letter

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: 09, 106, 004

18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

020457

(Insert Customer No. or Attach bar code label here)

11. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME William I. Solomon

SIGNATURE William I. Solomon

DATE April 6, 2000

REG. NO. 28,565

ANTONELLI, TERRY, STOUT & KRAUS, LLP
SUITE 1800
1300 NORTH SEVENTEENTH STREET
ARLINGTON, VIRGINIA 22209

TELEPHONE
(703) 312-6600
FACSIMILE
(703) 312-6666
E-MAIL
email@antonelli.com

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: HORI, et al.
Filed: April 6, 2000
For: LIPID METABOLISM IMPROVING AGENT

PRELIMINARY REMARKS

Assistant Commissioner for Patents
Washington, D.C. 20231

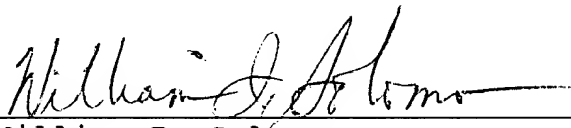
April 6, 2000

Sir:

Prior to examination of the above-identified application, please note that applicants do not necessarily wish to elect claims previously elected in the prior application Serial No. 08/836,546. If the Examiner intends to maintain a restriction requirement as in the prior application, the Examiner is respectfully requested to issue a written restriction requirement in the present application and permit applicants to make a new election in the present application.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP



William I. Solomon
Registration No. 28,565

WIS/slk
(703) 312-6660

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: HORI, et al.
Filed: April 6, 2000
For: LIPID METABOLISM IMPROVING AGENT

LETTER

Assistant Commissioner for Patents
Washington, D.C. 20231

April 6, 2000

Sir:

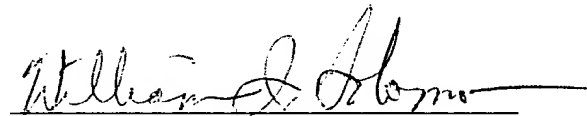
Under the provisions of 35 U.S.C. § 119 and 37 CFR 1.55,
the applicants hereby claim the right of priority based on:

Japanese Application No. 228928/95, filed September 6,
1995.

Receipt of the certified copy of said Japanese
Application has been acknowledged in the Notification of
Acceptance of Application Under 35 U.S.C. 371 and 37 CFR 1.494
or 1.495 mailed June 25, 1997 in connection with the above-
identified application.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP



William I. Solomon
Registration No. 28,565

1300 North Seventeenth Street
Suite 1800
Arlington, VA 22209
Tel.: 703-312-6600
Fax.: 703-312-6666

WIS/slk